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DOCKET: CU-3384

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Reinhold REILING et al)

SERIAL NO: 10/049,618) Group Art Unit: 3724

FILING DATE: February 22, 2002) Examiner:) Watts, Douglas D.

TITLE: DEVICE FOR POST-PROCESSING, MORE) PARTICULARLY SHARPENING A TOOL)

HAVING A PLURALITY OF TEETH

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE NOTICE OF ALLOWANCE

}

Dear Sir:

This application was abandoned on January 26, 2004, when the Office issued a Notice of Abandonment stating that applicant failed to timely pay the issue fee within three months of the mailing date of the Notice of Allowance.

Upon receipt of said Notice of Abandonment, the file was pulled and reviewed, noting that there had been no interim correspondence in the application since the filing receipt mailed April 12, 2002.

Upon contacting the U.S. Patent Office, it was learned that the Notice of Allowance issued on September 22, 2003.

All correspondence with regard to this application is currently mailed to:

Brezina & Ehrlich 47 West Polk Street, Suite 333 Chicago, IL 60605-2092

The attorney responsible for overseeing the work for this client, including proper routing of communications for this application was Burton S. Ehrlich, who recently joined the law offices of Ladas & Parry at 224 South Michigan Avenue, Chicago, Illinois 60604. However, as noted in Mr. Ehrlich's Affidavit, all



- 2 -

correspondence directed to Brezina & Ehrlich at the prior address was still to be delivered to that address for pick-up by the firm's representatives, or later after a change of address was submitted to the post office to be forwarded to his new location at Ladas & Parry.

Enclosed are the following materials, in support of this Petition To Withdraw Holding Of Abandonment Based On Failure To Receive Notice Of Allowance:

- 1. Affidavit of Richard J. Streit
- 2. Affidavit of Burton S. Ehrlich
- 3. Affidavit of Debra M. Szumowski
- 4. Change of Correspondence Address, together with Appointment of Associate Attorney

It is believed that no fee should be deemed necessary for this petition as the non-receipt of the Notice of Allowance by the undersigned is not the fault of the applicant. However should such fee be deemed necessary, the Commissioner is authorized to charge our Deposit Account No. 12-0400.

Favorable consideration of this Petition, together with the enclosed supporting Affidavits and materials, is respectfully requested.

March 26, 2004

Date

Respectfully submitted,

Actorney for Applicant

Richard J. Streit, Reg. 25765 c/o Ladas & Parry 224 South Michigan Avenue Chicago, Illinois 60604 (312) 427-1300 .47

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The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the following items:

Petition to Withdraw Holding of Abandonment Based on Failure to Receive Nat. Of Allowance.
Affidavit of Richard J. Streit (2pgs)
Affidavit of Burton S. Ehrlich (2pgs)
Affidavit of Debra M. Szumowski (10 pgs)
Change of Corres. Address w/Appt. Assoc. Atty.

APPLICANT: Reinhold REILING et al

SERIAL NO: 10/049,618

The content and the wife of the

February 22, 2002 FILED: __

TITLE: DEVICE FOR POST-PROCESSING; MORE PARTICULARLY SHARPENING, ...

03/26/2004 DOCKET:



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United States Patent and Trademark Office

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		3.26	3724		
		J	DATE MAILED: 01/26/2004	,0 ,45	
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Please find below and/or attached an Office communication concerning this application or proceeding.



UNITED STAT

PEPARTMENT OF COMMERCE

Address: COMMISSIONER OF PATENTS AND TRADEMARES Washington, D.C. 20231

APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT

10/049, 618

Washington, D.C. 202

ATTORNEY DOCKET NO.

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ART UNIT

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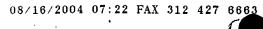
DATE MAILED:

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NOTICE OF ABANDONME	NT
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	A proposed reply was received on but it does not say that the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists on which places the application in condition for allowance; (2) a timely or (3) a timely filed Request for Continued Examination (RCE) in continued Examination (RCE).	ot constitute a proper reply under ly of: (1) a timely filed amendment filed Notice of Appeal (with appeal fee)	
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	Applicant's failure to timely pay the required issue fee and publication fee, if of three months from the mailing date of the Notice of Allowance (PTOL-85).		
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□ ;	The issue fee and publication fee, if applicable, have not been received. Applicant's failure to timely file corrected drawings as required by, and within the Notice of Allowability (PTOL-37).	red.	
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	No corrected drawings have been received.	y.	
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7 т	The letter of express abandonment which is signed by an attomey or agent (ac ander 37 CFR 1.34(a)) upon filing of a continuing application.		
TI	he decision by the Board of Patent Appeals and Interferences rendered on or seeking court review of the decision has expired and there are no allowed on		
1 1	he reason(s) below: additions to revive under 37 CFR 1.137(s) or (b), or requests to withdraw the holding of abandonment un- inimize any negative effects on patent term.		



DOCKET: CU-3384 EV408339419US

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT:	Reinhold REILING et al)
SERIAL NO:	10/049,618)) Group Art Unit: 3724
FILING DATE:	February 22, 2002) Examiner:
TITLE:	DEVICE FOR POST-PROCESSING, MORE PARTICULARLY SHARPENING A TOOL HAVING A PLURALITY OF TEETH) Watts, Douglas D.))

AFFIDAVIT

I, Richard J. Streit, being duly sworn, declare as follows.

I am a patent partner of the law firm of Ladas & Parry, 224 South Michigan Avenue, Chicago, Illinois 60604.

I am the primary partner responsible for previewing all communications from the U.S. Patent Office, including, but not limited to, Office Actions and Notices of Allowance.

When mail is received in our office, our mail clerk opens the same and date-stamps the covering letter for each item. All mail relating to patent matters is then brought to me for review and distribution to the appropriate party. For communications having a due date, the mail is placed in a docket tray located on the desk of our docket patent paralegal, Ms. Debra M. Szumowski. She then enters the term into our manual and computer docketing system and generates dockets for each of the responsible attorneys with due dates in sequential order.

I have carefully examined the file on the subject application and I find that the last communication in our file is the official filing receipt mailed April 12, 2002. There is no further communication in this file until the Notice of Abandonment mailed on January 26, 2004. Clearly the Notice of Allowance was never received in this office and there is no other indication in the file that the application was allowed.

Supporting Affidavits strongly indicate that the Notice of Allowance was never received in this office, nor docketed in accordance with our normal practice.

I hereby declare that all statements made herein of my own knowledge are



true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 101 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated this 26th day of March, 2004.

Richard J. Streit

Sworn to and subscribed before me this 26th day of March, 2004

Mayore a. Thomsen

OFFICIAL SEAL
MARJORIE A THONISEN
NOTARY PUBLIC - BTATE OF ILLINOIS
MY COMMISSION EXPIRES: 08-28-07

06-28-07





EV408339419US

DOCKET: CU-3384

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT:	Reinhold REILING et al)
SERIAL NO:	10/049,618)) Group Art Unit: 3724
FILING DATE:)) Examiner:
TITLE:	DEVICE FOR POST-PROCESSING, MORE PARTICULARLY SHARPENING A TOOL HAVING A PLURALITY OF TEETH) Watts, Douglas D.)))

<u>AFFIDAVIT</u>

I, Debra M. Szumowski, being duly sworn, declare as follows.

I am a patent paralegal employed by the law firm of Ladas & Parry, 224 South Michigan Avenue, Chicago, Illinois 60604.

I am responsible for docketing all matters which have outstanding deadlines, including Office Actions and Notices of Allowance issued by the U.S. Patent Office, as follows:

- 1. All mail which includes a date for response to an outstanding matter is placed in a "docket" tray located near my desk.
- Appropriate records cards are then pulled for each piece of correspondence and the due date written on the back of the card thereof.
- The case is entered in our computer in due date order for each attorney in our office.
- I indicate the responsible attorney's initials, the current date and my
 initials on the right hand side of the correspondence to indicate the same
 has been docketed.
- The mail is then passed to the attorney responsible for the case for reporting.
- 6. The cards are filed away in due date order as a backup system.
- 7. Docket sheets which include a list of cases and their corresponding due dates are prepared on a weekly basis and given to the attorney responsible for status. If the docket sheet for the previous week is not returned by the attorney with an indication of status at the time of





- 2 -

preparing the weekly docket sheets, the new docket sheet for that attorney is prepared immediately upon receipt of the previous week's docket sheet indicating the status. In no event is a docket ever prepared after the deadlines have passed without review by the attorney.

I am also responsible for all correspondence received from the U.S. Patent Office, including the tracking of terms after U.S. cases are allowed, including payment of the issue fee, preparation and submittal of drawings, terms for the Issue Notification as well as the letters patent document.

Accordingly, when a Notice of Allowance issues from the U.S. Patent Office, the same is docketed in the manner referred to above. In addition, I prepare a checklist as follows:

The file is checked for completion of all formality requirements, including the filing of Assignment, certified copy, etc. The case is entered in an "allowance log" where appropriate terms are set for payment of the issue fee, the issue fee receipt, the patent number and grant date as well as receiving the patent document. After each step is completed, the log is updated with the appropriate date.

Burton S. Ehrlich joined the firm of Ladas & Parry in September, 2003. A number of patents/applications under his responsibility were taken onto our records. Included was the referenced U.S. patent application, which received our attorney docket No. CU-3384.

On receiving the Notice of Abandonment dated January 26, 2004, the patent file was pulled and reviewed to confirm that the case was indeed to have been abandoned. However in reviewing the file it was noted that the last communication is the filing receipt mailed April 12, 2002. There is no further communication in this file until the Notice of Abandonment mailed on January 26, 2004. Clearly the Notice of Allowance was never received in this office and there is no other indication in the file that the application was allowed. In addition, the record card used for docketing purposes indicates that no "Action" was issued that required a corresponding due date to have been entered on our docket.

Attached as Exhibit A is a copy of the front and back sides of the record card for this application.

Also enclosed as Exhibit B is a copy of the allowance log for the period August 25, 2003 through October 27, 2003.

- 3 -

Exhibit C is a portion of the log of applications indicating the cases taken on records. Confidential material not related to this application has been omitted.

Enclosed as Exhibit D is the docket sheet printed for the period December 20, 2003 through December 23, 2003 for Richard J. Streit, the partner for whom is responsible for answering any outstanding docket deadline. Confidential material not related to this application has been omitted. It is noted that there is no indication of a docket entry for the referenced application, CU-3384, Serial No. 10/049,618.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 101 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated this 26th day of March, 2004.

Debra M. Szumowski

Sworn to and subscribed before me this 26th day of March, 2004

OFFICIAL SEAL
MARJORIE A THOMSEN
NOTARY PUBLIC - STATE OF ILLUNO'S
MY COMMISSION EXPIRES: 05-28-07

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DOCKET: CU-3384

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT:	Reinhold REILING et al)
SERIAL NO:	10/049,618)) Group Art Unit: 3724
FILING DATE:	February 22, 2002)) Examiner:
TITLE:	DEVICE FOR POST-PROCESSING, MORE PARTICULARLY SHARPENING A TOOL HAVING A PLURALITY OF TEETH) Watts, Douglas D.))

AFFIDAVIT

I, Burton S. Ehrlich, being duly sworn, declare as follows.

I am an attorney employed by the law firm of Ladas & Parry, 224 South Michigan Avenue, Chicago, Illinois 60604.

I am the attorney responsible for overseeing and assigning the work on all matters with respect to the client involved with this patent application. I declare as follows:

1. With the closure of the Brezina & Ehrlich law firm, which I was a principal, it was decided that mail addressed to the law firm of Brezina & Ehrlich should still be delivered to the address for Brezina & Ehrlich at 47 W. Polk Street, Suite 333, Chicago, Illinois 60605 (as shown in the patent application as filed) where arrangements were regularly made for pick-up of the mail that was delivered. Our proceeding in this manner was considered to avoid delays with the Post Office in the forwarding of mail, especially since the mail would have needed to be forwarded to a different area code. Mail picked-up was then processed and docketed in the same manner as other communications (see Debbie M. Szumowski's Affidavit submitted herewith) and if the mail had been received following the date of September 22, 2003, referenced as the apparent mail date of the document at issue, when received it would have been docketed in the same manner as the docketing of other materials, as discussed in the Debbie M. Szumowski's Affidavit submitted herewith.



- 2. At a later date when mail delivered to Brezina & Ehrlich was considered to be of a very minimal level, it was arranged with the Post Office that the mail would be forwarded directly to Ladas & Parry.
- 3. We have no record of ever receiving the communication with the mailing date of September 22, 2003.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 101 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated this 26th day of March, 2004.

Burton S. Ehrlick

Sworn to and subscribed before me this 26th day of March, 2004

Marique a. Thomsen Notary Rublic

OFFICIAL SEAL
MARJORIE A THOMSEN
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES: 06-28-07

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EXHIBIT A

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EXHIBIT B

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EXHIBIT (

BURT HRLICH FILES TAKEN ON RECORDS

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3382	09/26/03	BSE/RJS	Ref: FE01E099WOUS US 10/049, filed 2/25/02 (filed by Brezina & Ehrlich) (02040 - 4597-037)
3383	09/26/03	BSE/RJS	Ref: T101E001WOUS US 10/069, (PCT Completion) (filed by Brezina & Ehrlich) (02040 - 4597-039)
3384	09/26/03	BSE/RJS	Porta Patentwanwalte Ref: RL01E006WOUS DEVICE FOR POST-PROCESSING, MORE PARTICULARLY SHARPENING, A TOOL HAVING A PLURALITY OF TEETH US 10/049,618 (PCT Completion) (filed by Brezina & Ehrlich) (02039 - 4597-038)
3390	09/30/03	BSE/RJS	Reinhold REILING et al Ref: FOE1E033USP filed by Arnstein & Lehr 84597-0061 US 10/427,
3391	09/30/03	BSE/RJS	Ref: FOE1E034USP filed by Arnstein & Lehr 84597-0060 US 10/427,

December 9, 2003

EXHIBIT D

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RICHARD J. STREIT

OFFICIAL ACTIONS December 19, 2002 through December 25, 2003

Please return by: December 12, 2002

	December 12, 2002				
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DATE:

August 13, 2004

FROM:

Richard J. Streit/ds

TO:

Commissioner for Patents

Fax (703) 308-5065

P.O. Box 1450

Alexandria, VA 22313-1450

(703) 872 -9306

Attention: Ms. Blanche May

RE:

Reinhold Reiling et al

U.S. Serial No. 10/049,618 Filed February 22, 2002

Device For Post-Processing, More Particularly Sharpening, A Tool

Having A Plurality Of Teeth

Our Docket: CU-3384

REMARKS:

Attached is a copy of our Petition to Withdraw Holding of Abandonment Based on Failure to Receive Notice of Allowance submitted on March 26, 2004, together with a copy of the return postcard which has been date-stamped by the Patent Office.

Kindly advise the status of our Petition.